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## NOTICE OF ALLOWANCE AND FEE(S) DUE

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02/05/2009

KNOBBE MARTENS OLSON & BEAR LLP 2040 MAIN STREET FOURTEENTH FLOOR IRVINE, CA 92614

EXAMINER				
BAKER, DAVID S				
ART UNIT	PAPER NUMBER			
2884				

DATE MAILED: 02/05/2009

APPLICATION NO.	FILING DATE	FIRST NAMED INVENTOR	ATTORNEY DOCKET NO.	CONFIRMATION NO.
10/577,606	01/08/2007	Julian Mark Watson	JAMES118.001APC	5402

TITLE OF INVENTION: METHOD OF FORMING A SLIP-RESISTANT PHOTO-LUMINESCENT DEVICE

APPLN. TYPE	SMALL ENTITY	ISSUE FEE DUE	PUBLICATION FEE DUE	PREV. PAID ISSUE FEE	TOTAL FEE(S) DUE	DATE DUE
nonprovisional	NO	\$1510	\$300	\$0	\$1810	05/05/2009

THE APPLICATION IDENTIFIED ABOVE HAS BEEN EXAMINED AND IS ALLOWED FOR ISSUANCE AS A PATENT. PROSECUTION ON THE MERITS IS CLOSED. THIS NOTICE OF ALLOWANCE IS NOT A GRANT OF PATENT RIGHTS. THIS APPLICATION IS SUBJECT TO WITHDRAWAL FROM ISSUE AT THE INITIATIVE OF THE OFFICE OR UPON PETITION BY THE APPLICANT. SEE 37 CFR 1.313 AND MPEP 1308.

THE ISSUE FEE AND PUBLICATION FEE (IF REQUIRED) MUST BE PAID WITHIN THREE MONTHS FROM THE MAILING DATE OF THIS NOTICE OR THIS APPLICATION SHALL BE REGARDED AS ABANDONED. THIS STATUTORY PERIOD CANNOT BE EXTENDED. SEE 35 U.S.C. 151. THE ISSUE FEE DUE INDICATED ABOVE DOES NOT REFLECT A CREDIT FOR ANY PREVIOUSLY PAID ISSUE FEE IN THIS APPLICATION. IF AN ISSUE FEE HAS PREVIOUSLY BEEN PAID IN THIS APPLICATION (AS SHOWN ABOVE), THE RETURN OF PART B OF THIS FORM WILL BE CONSIDERED A REQUEST TO REAPPLY THE PREVIOUSLY PAID ISSUE FEE TOWARD THE ISSUE FEE NOW DUE.

#### HOW TO REPLY TO THIS NOTICE:

I. Review the SMALL ENTITY status shown above.

If the SMALL ENTITY is shown as YES, verify your current SMALL ENTITY status:

A. If the status is the same, pay the TOTAL FEE(S) DUE shown above.

B. If the status above is to be removed, check box 5b on Part B - Fee(s) Transmittal and pay the PUBLICATION FEE (if required) and twice the amount of the ISSUE FEE shown above, or

If the SMALL ENTITY is shown as NO:

A. Pay TOTAL FEE(S) DUE shown above, or

B. If applicant claimed SMALL ENTITY status before, or is now claiming SMALL ENTITY status, check box 5a on Part B - Fee(s) Transmittal and pay the PUBLICATION FEE (if required) and 1/2 the ISSUE FEE shown above.

II. PART B - FEE(S) TRANSMITTAL, or its equivalent, must be completed and returned to the United States Patent and Trademark Office (USPTO) with your ISSUE FEE and PUBLICATION FEE (if required). If you are charging the fee(s) to your deposit account, section "4b" of Part B - Fee(s) Transmittal should be completed and an extra copy of the form should be submitted. If an equivalent of Part B is filed, a request to reapply a previously paid issue fee must be clearly made, and delays in processing may occur due to the difficulty in recognizing the paper as an equivalent of Part B.

III. All communications regarding this application must give the application number. Please direct all communications prior to issuance to Mail Stop ISSUE FEE unless advised to the contrary.

IMPORTANT REMINDER: Utility patents issuing on applications filed on or after Dec. 12, 1980 may require payment of maintenance fees. It is patentee's responsibility to ensure timely payment of maintenance fees when due.

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### Complete and send this form, together with applicable fee(s), to: Mail Mail Stop ISSUE FEE

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maintenance fee notifications.

INSTRUCTIONS: This form should be used for transmitting the ISSUE FEE and PUBLICATION FEE (if required). Blocks 1 through 5 should be completed where appropriate. All further correspondence including the Patent, advance orders and notification of maintenance fees will be mailed to the current correspondence address as indicated unless corrected below or directed otherwise in Block 1, by (a) specifying a new correspondence address; and/or (b) indicating a separate "FEE ADDRESS" for Note: A certificate of mailing can only be used for domestic mailings of the CURRENT CORRESPONDENCE ADDRESS (Note: Use Block 1 for any change of address) Fee(s) Transmittal. This certificate cannot be used for any other accompanying papers. Each additional paper, such as an assignment or formal drawing, must have its own certificate of mailing or transmission. 20995 7590 02/05/2009 Certificate of Mailing or Transmission KNOBBE MARTENS OLSON & BEAR LLP I hereby certify that this Fee(s) Transmittal is being deposited with the United States Postal Service with sufficient postage for first class mail in an envelope addressed to the Mail Stop ISSUE FEE address above, or being facsimile transmitted to the USPTO (571) 273-2885, on the date indicated below. 2040 MAIN STREET FOURTEENTH FLOOR **IRVINE, CA 92614** (Depositor's name (Signature (Date APPLICATION NO. FILING DATE FIRST NAMED INVENTOR ATTORNEY DOCKET NO. CONFIRMATION NO. 10/577,606 01/08/2007 Julian Mark Watson JAMES118.001APC 5402 TITLE OF INVENTION: METHOD OF FORMING A SLIP-RESISTANT PHOTO-LUMINESCENT DEVICE APPLN. TYPE SMALL ENTITY ISSUE FEE DUE PUBLICATION FEE DUE PREV. PAID ISSUE FEE TOTAL FEE(S) DUE DATE DUE nonprovisional NO \$1510 \$300 \$0 \$1810 05/05/2009 **EXAMINER** ART UNIT CLASS-SUBCLASS BAKER, DAVID S 2884 250-462100 1. Change of correspondence address or indication of "Fee Address" (37 CFR 1.363). 2. For printing on the patent front page, list (1) the names of up to 3 registered patent attorneys or agents OR, alternatively, ☐ Change of correspondence address (or Change of Correspondence Address form PTO/SB/122) attached. (2) the name of a single firm (having as a member a ☐ "Fee Address" indication (or "Fee Address" Indication form PTO/SB/47; Rev 03-02 or more recent) attached. Use of a Customer Number is required. registered attorney or agent) and the names of up to 2 registered patent attorneys or agents. If no name is listed, no name will be printed. 3. ASSIGNEE NAME AND RESIDENCE DATA TO BE PRINTED ON THE PATENT (print or type) PLEASE NOTE: Unless an assignee is identified below, no assignee data will appear on the patent. If an assignee is identified below, the document has been filed for recordation as set forth in 37 CFR 3.11. Completion of this form is NOT a substitute for filing an assignment. (A) NAME OF ASSIGNEE (B) RESIDENCE: (CITY and STATE OR COUNTRY) 4b. Payment of Fee(s): (Please first reapply any previously paid issue fee shown above) 4a. The following fee(s) are submitted: lssue Fee A check is enclosed. Publication Fee (No small entity discount permitted) Payment by credit card. Form PTO-2038 is attached. The Director is hereby authorized to charge the required fee(s), any deficiency, or credit any overpayment, to Deposit Account Number \_\_\_\_\_\_ (enclose an extra copy of this fo Advance Order - # of Copies \_ (enclose an extra copy of this form). 5. Change in Entity Status (from status indicated above) a. Applicant claims SMALL ENTITY status. See 37 CFR 1.27. ■ b. Applicant is no longer claiming SMALL ENTITY status. See 37 CFR 1.27(g)(2). NOTE: The Issue Fee and Publication Fee (if required) will not be accepted from anyone other than the applicant; a registered attorney or agent; or the assignee or other party in interest as shown by the records of the United States Patent and Trademark Office. Authorized Signature Date Typed or printed name Registration No. This collection of information is required by 37 CFR 1.311. The information is required to obtain or retain a benefit by the public which is to file (and by the USPTO to process) an application. Confidentiality is governed by 35 U.S.C. 122 and 37 CFR 1.14. This collection is estimated to take 12 minutes to complete, including gathering, preparing, and submitting the completed application form to the USPTO. Time will vary depending upon the individual case. Any comments on the amount of time you require to complete this form and/or suggestions for reducing this burden, should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, U.S. Department of Commerce, P.O. Box 1450, Alexandria, Virginia 22313-1450. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Commissioner for Patents, P.O. Box 1450, Alexandria, Virginia 22313-1450.

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2040 MAIN STREET		ART UNIT	PAPER NUMBER	
FOURTEENTH FLOOR IRVINE, CA 92614			2884	
			DATE MAILED: 02/05/200	9

# Determination of Patent Term Adjustment under 35 U.S.C. 154 (b)

(application filed on or after May 29, 2000)

The Patent Term Adjustment to date is 24 day(s). If the issue fee is paid on the date that is three months after the mailing date of this notice and the patent issues on the Tuesday before the date that is 28 weeks (six and a half months) after the mailing date of this notice, the Patent Term Adjustment will be 24 day(s).

If a Continued Prosecution Application (CPA) was filed in the above-identified application, the filing date that determines Patent Term Adjustment is the filing date of the most recent CPA.

Applicant will be able to obtain more detailed information by accessing the Patent Application Information Retrieval (PAIR) WEB site (http://pair.uspto.gov).

Any questions regarding the Patent Term Extension or Adjustment determination should be directed to the Office of Patent Legal Administration at (571)-272-7702. Questions relating to issue and publication fee payments should be directed to the Customer Service Center of the Office of Patent Publication at 1-(888)-786-0101 or (571)-272-4200.

	Application No.	Applicant(s)	
	   10/577,606	WATSON, JULIAN	MARK
Notice of Allowability	Examiner	Art Unit	
	DAVID S. BAKER	2884	
The MAILING DATE of this communication appe		•	·
The MAILING DATE of this communication appearance All claims being allowable, PROSECUTION ON THE MERITS IS herewith (or previously mailed), a Notice of Allowance (PTOL-85) NOTICE OF ALLOWABILITY IS NOT A GRANT OF PATENT RI of the Office or upon petition by the applicant. See 37 CFR 1.313	(OR REMAINS) CLOSED in or other appropriate communication is supplication is supplication.	n this application. If not includ unication will be mailed in due	ed course. <b>THIS</b>
1. This communication is responsive to <u>08 January 2009</u> .			
2. ⊠ The allowed claim(s) is/are <u>1-12</u> .			
3. ☑ Acknowledgment is made of a claim for foreign priority ur  a) ☑ All b) ☐ Some* c) ☐ None of the:	• .,.,	or (f).	
1. Certified copies of the priority documents have			
2. Certified copies of the priority documents have	• •	<del></del>	
3. Copies of the certified copies of the priority do	cuments have been receive	d in this national stage applica	ition from the
International Bureau (PCT Rule 17.2(a)).			
* Certified copies not received:			
Applicant has THREE MONTHS FROM THE "MAILING DATE" noted below. Failure to timely comply will result in ABANDONN THIS THREE-MONTH PERIOD IS NOT EXTENDABLE.		e a reply complying with the re	quirements
4. A SUBSTITUTE OATH OR DECLARATION must be subm INFORMAL PATENT APPLICATION (PTO-152) which give			IOTICE OF
5. CORRECTED DRAWINGS ( as "replacement sheets") mus	st be submitted.		
(a) ☐ including changes required by the Notice of Draftspers		w ( PTO-948) attached	
1)  hereto or 2)  to Paper No./Mail Date			
(b) ☐ including changes required by the attached Examiner's Paper No./Mail Date	s Amendment / Comment or	r in the Office action of	
Identifying indicia such as the application number (see 37 CFR 1 each sheet. Replacement sheet(s) should be labeled as such in t			e back) of
6. DEPOSIT OF and/or INFORMATION about the depo attached Examiner's comment regarding REQUIREMENT			Note the
Attachment(s) 1. ☑ Notice of References Cited (PTO-892)	5. ☐ Notice of In	formal Patent Application	
2. ☐ Notice of Draftperson's Patent Drawing Review (PTO-948)		ummary (PTO-413),	
3. ☐ Information Disclosure Statements (PTO/SB/08),	Paper No.	/Mail Date Amendment/Comment	
Paper No./Mail Date  4.  Examiner's Comment Regarding Requirement for Deposit	_	Statement of Reasons for Alk	owance
of Biological Material	9.		

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### **DETAILED ACTION**

## Response to Amendment

1. The amendment filed on 08 January 2009 has been accepted and entered.

# Allowable Subject Matter

- 2. Claims 1-12 are allowed.
- 3. The following is an examiner's statement of reasons for allowance:

Regarding claim 1, the prior art of record does not disclose or reasonably suggest, along with the other claimed limitations, a method of manufacturing a slip-resistant photo-luminescent device comprising: namely the combination of, preparing a first powdered component of resin and friction-enhancing material; preparing a second powdered component of resin and a photo-luminescent pigment; and fusing the resins to bond them to the surface of a substrate within separate recesses. Prior art, such as Connew, teaches the use of a photo-luminescent strip set in a substrate's recess. The shape of the apparatus provides added traction without the need of a friction-enhanced parallel strip. Andreo teaches a similar device but in his embodiment the strip set in the substrate recess is a non-slip material. A photo-luminescent material is coated in between the strips of non-slip material in order to provide protection from direct foot traffic. However, Andreo teaches away from the instant invention because the instant invention places the photo-luminescent material in contact with foot traffic; something Andreo specifically strives to avoid.

Regarding claims 2-10, the claims are allowed due to their dependence upon an already allowed claim and lack of technical errors.

Regarding claim 11, the prior art of record does not disclose or reasonably suggest, along with the other claimed limitations, a slip-resistant photo-luminescent device comprising: namely the combination of, a substrate with a strip of photo-luminescent material bonded into a first recess; a strip of friction-enhancing material bonded into a second recess; wherein both materials are heat cured resins fused to the recesses' surfaces. For additional reason for allowance, please see the explanation attached to claim 1 above.

Page 3

Regarding claim 12, the claim is allowed due to its dependence upon an already allowed claim and lack of technical errors.

Any comments considered necessary by applicant must be submitted no later than the payment of the issue fee and, to avoid processing delays, should preferably accompany the issue fee. Such submissions should be clearly labeled "Comments on Statement of Reasons for Allowance."

### Response to Arguments

4. Applicant's arguments, see page 4 of the amendment, filed 08 January 2009, with respect to claim 1-12 have been fully considered and are persuasive. The cancellation of claim 13 has placed the instant application in a condition for allowance.

### Conclusion

5. The prior art made of record and not relied upon is considered pertinent to applicant's disclosure.

US 7,297,388 B2 – Dimond discloses a hopper dispensing system for luminescent powder.

US 6,726,952 B1 – Dimond discloses a hopper dispensing system for luminescent powder.

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US 5,103,608 A – Andreo discloses a stair nosing with photo-luminescent material coated between non-slip strips.

US 4,998,391 A – Connew discloses a stair nosing with photo-luminescent strips.

Any inquiry concerning this communication or earlier communications from the examiner should be directed to DAVID S. BAKER whose telephone number is (571)272-6003. The examiner can normally be reached on MTWRF 10:30am-7:00pm.

If attempts to reach the examiner by telephone are unsuccessful, the examiner's supervisor, David P. Porta can be reached on (571) 272-2444. The fax phone number for the organization where this application or proceeding is assigned is 571-273-8300.

Information regarding the status of an application may be obtained from the Patent Application Information Retrieval (PAIR) system. Status information for published applications may be obtained from either Private PAIR or Public PAIR. Status information for unpublished applications is available through Private PAIR only. For more information about the PAIR system, see <a href="http://pair-direct.uspto.gov">http://pair-direct.uspto.gov</a>. Should you have questions on access to the Private PAIR system, contact the Electronic Business Center (EBC) at 866-217-9197 (toll-free). If you would like assistance from a USPTO Customer Service Representative or access to the automated information system, call 800-786-9199 (IN USA OR CANADA) or 571-272-1000.

/David S Baker/ Examiner, Art Unit 2884 /David P. Porta/ Supervisory Patent Examiner, Art Unit 2884